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DOCKET NO.: PUAS-0016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Forrest, et al.

Confirmation No.: 8094

Application No.: 09/982,001

Group Art Unit: 2874

Filing Date: October 18, 2001

Examiner: Allah, Akm E.

For: TWIN WAVEGUIDE BASED DESIGN FOR PHOTONIC INTEGRATED
CIRCUITS

EXPRESS MAIL LABEL NO: EV 325644720 US

DATE OF DEPOSIT: July 30, 2003

EV325644720US

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

TRANSMITTAL LETTER ACCOMPANYING
AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 CFR § 1.312
AND REQUEST FOR COMPLETED COPY OF FORM PTO-1449 MODIFIED

Please make the amendments shown in the attached papers in this application in the:

- ☐ abstract.
- ☒ specification.
- ☐ drawings.
- ☐ claims.

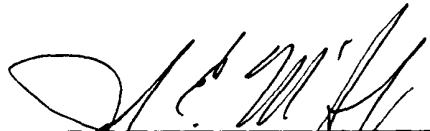
- ☒ This Amendment is needed for proper disclosure or protection of the invention and requires no substantial amount of additional work on the part of the PTO.
- ☐ This Amendment affects the disclosure or scope of a claim. The attached Amendment sets forth the reasons why the Amendment is needed, why the proposed amended or

new claims require no additional search or examination, why the claims are patentable, and why they were not earlier presented.

- ☒ The issue fee:
- ☒ has not been paid.
- ☐ is paid separately herewith.

Please return initialed signed copies of the PTO-1449s submitted on December 18, 2001 and September 17, 2002 as requested in the attached Request for Completed Copy of Form PTO-1449 Modified.

Date: July 23, 2003



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DOCKET NO.: PUAS-0016

PATENT

Application No.: 09/982,001

Notice of Allowance Dated: May 15, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Forrest et al..

Confirmation No.: **8094**

Application No.: **09/982,001**

Group Art Unit: **2874**

Filing Date: **October 18, 2001**

Examiner: **Ullah, Akm E.**

For: **TWIN WAVEGUIDE BASED DESIGN FOR PHOTONIC INTEGRATED
CIRCUITS**

EXPRESS MAIL LABEL NO: EV 325644720 US
DATE OF DEPOSIT: July 30, 2003

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST FOR COMPLETED COPY OF FORM PTO-1449 MODIFIED

This is in response to the Notice of Allowance/Notice of Allowability issued May 15, 2003 in connection with the above-identified application.

An Information Disclosure Statement, three sheets of Form PTO-1449 Modified, and copies of seven references listed on the Form PTO-1449s were submitted to the Patent Office on December 18, 2001. Furthermore, a Supplemental Information Disclosure Statement, two sheets of Form PTO-1449 Modified, and copies of 10 references listed on the Form PTO-1449s were submitted to the Patent Office on September 17, 2002. Applicants have not received initialed and signed copies of the three sheets of Form PTO-1449 corresponding to the Information Disclosure Statement, and initialed and signed copies of the two sheets of Form PTO-1449 corresponding to the Supplemental Information Disclosure Statement.

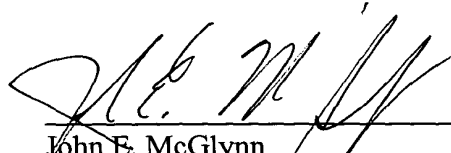
DOCKET NO.: PUAS-0016
Application No.: 09/982,001
Notice of Allowance Dated: May 15, 2003

PATENT

Accordingly, Applicants' undersigned representative respectfully request copies of the initialed, signed, and dated Form PTO-1449s as confirmation from the Examiner that the references cited on the Form PTO-1449s have been considered. Applicants' undersigned representative request that these copies be mailed or faxed to the undersigned prior to the issue fee due date of August 15, 2003. A copy of the Information Disclosure Statement, the accompanying three sheets of Form PTO-1449, the Supplemental Information Disclosure Statement, the accompanying two sheets of Form PTO-1449, and the date stamped return post cards for these items as received by the Patent Office is enclosed for the Examiner's convenience.

Should there be any questions regarding this communication, the undersigned invites the Examiner to contact him at the number provided below.

Date: July 30, 2003



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